

## **NAPA Net | Announcing the 2017 NAPA Top DC Advisor Teams - NAPA Net**

### **Announcing the 2017 NAPA Top DC Advisor Teams**

Nevin E. Adams, JD • 1/3/18

Today we are pleased to update and unveil our list of Top DC Advisor Teams.

Unlike other lists, this focuses on firms, or what may, in a wirehouse environment, be referred to as a team, or office, and as noted above, on the defined contribution (DC) practice specifically. While we used the terms interchangeably during the initial online promotion, we have decided to officially embrace “teams” as the list name, since it captures the essence of a group of individuals pulling together toward a common goal.

The list is online at <https://www.napa-net.org/industry-lists/top-dc-advisor-teams-over/>.

Since their inception, NAPA’s various industry lists have been a valuable Who’s Who of who matters in the world of retirement plans and retirement plan advisors. This latest chapter – the NAPA Top DC Advisor Teams, ranked by self-reported DC assets under advisement – presents a compelling case for the impact on the nation’s private retirement system. Every team on this list of 275 has in excess of \$100,000,000 in DC assets under advisement.

Think about that for a second; \$275,000,000,000 in DC assets guided by these teams.

Sure, we know it’s not just about the numbers – but the reality is that advisors are having a huge impact every single day, not only on the quality of retirement plan advice, but in building a more financially secure retirement for millions of Americans.

We appreciate the commitment and hard work of the teams acknowledged – and are proud to have the opportunity to share it here, and in the Winter issue of *NAPA Net the Magazine* (already in the mail).

#### **NAPA 401(k) Summit Recognition**

We’ll also be acknowledging these firms at the NAPA 401(k) Summit in Nashville, Tennessee, April 15-17. If you haven’t yet registered, you’ll want to do so today – the hotel room block is filling up *fast*.

You can register – and check out the agenda – at <http://napasummit.org>.

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## NAPA Net | 2017 Top DC Advisor Teams with AUA Over \$100 Million - NAPA Net

## 2017 Top DC Advisor Teams with AUA Over \$100 Million



with AUA Over \$100 Million

Advisor Firm Name	City	State	Year Est.	Total asset value of the defined contribution plans supported (as of 12/31/16)	Total number of defined contribution plans supported (as of 12/31/16)	Total participants covered by defined contribution plans supported (as of 12/31/16)
Summit Group Retirement Planners, Inc.	Exton	PA	2013	\$100,000,000	50	3,500
Investors Brokerage of Texas, Ltd.	Waco	TX	1982	\$102,000,000	42	2,100
The Burns/Marchiano Group at Morgan Stanley	Morristown	NJ		\$106,000,000	58	2,000
Zak Wealth Management Group of Wells Fargo Advisors	Greenville	DE	1992	\$120,000,000	15	560
Horizon Wealth Management	Baton Rouge	LA	1999	\$120,000,000	60	2,300
Beltz Ianni & Associates, LLC	Rochester	NY	2001	\$120,315,750	68	2,226
S C Asset Advisors of Janney Montgomery Scott LLC	Columbia	SC	1997	\$122,000,000	33	2,200
Twelve Points Retirement Advisors	Boston	MA	2014	\$123,683,942	41	2,460
Kirby Wealth Management Group	Champaign	IL	1995	\$125,000,000	61	3,000
Prosperifi, LLC	Rosemont	IL	2015	\$125,000,000	60	1,200

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<b>Forney Financial Solutions,LLC</b>	<i>Altoona</i>	<i>PA</i>	2002	\$128,000,000	52	2,440
<b>L.R. Webber Associates, Inc.</b>	<i>Duncansville</i>	<i>PA</i>	1976	\$140,000,000	20	6,500
<b>Fisher Investments 401(k) Solutions</b>	<i>Camas</i>	<i>WA</i>	2014	\$141,322,314	74	2,314
<b>Grinkmeyer Leonard Financial</b>	<i>Birmingham</i>	<i>AL</i>	2005	\$150,000,000	26	3,100
<b>Jbara and Rogers Financial Management Group</b>	<i>Farmington Hills</i>	<i>MI</i>	1985	\$150,000,000	21	1,300
<b>N W Kaye Private Investment Management, LLC</b>	<i>New Orleans</i>	<i>LA</i>	2015	\$152,000,000	3	2,550
<b>Stonebridge Financial Group</b>	<i>Wormleysburg</i>	<i>PA</i>	2002	\$155,000,000	65	2,200
<b>Mid-Atlantic Planning Services</b>	<i>Allentown</i>	<i>PA</i>	2006	\$160,000,000	70	3,000
<b>Mid-Atlantic Planning Services</b>	<i>Allentown</i>	<i>PA</i>	2006	\$160,000,000	70	3,000
<b>Bishop Wealth Management Group</b>	<i>Pittsburgh</i>	<i>PA</i>	1984	\$164,000,000	50	1,700
<b>Pensionmark Financial Group</b>	<i>Bakersfield</i>	<i>CA</i>	2007	\$165,000,000	72	5,200
<b>Fowler Group of Raymond James, The</b>	<i>Nashville</i>	<i>TN</i>	2002	\$168,000,000	27	3,650
<b>Sharkey, Howes &amp; Javer, Inc.</b>	<i>Denver</i>	<i>CO</i>	1990	\$170,000,000	51	2,100
<b>Connor &amp; Gallagher OneSource, Member Firm of GRP Advisor Alliance</b>	<i>Lisle</i>	<i>IL</i>	1997	\$175,000,000	30	9,000
<b>Aurum Wealth Management Group</b>	<i>Mayfield Village</i>	<i>OH</i>	1996	\$175,000,000	50	3,800
<b>Manhattan Ridge Advisors</b>	<i>New York</i>	<i>NY</i>	2006	\$180,000,000	48	1,750
<b>Eidlin-Kilmer &amp; Associates</b>	<i>Pittsford</i>	<i>NY</i>	1992	\$183,623,735	57	1,750
<b>Blueprint Financial</b>	<i>Cleveland</i>	<i>OH</i>	2007	\$185,000,000	23	3,800
<b>The Swanson &amp; Johnson Group at Morgan Stanley</b>	<i>Portland</i>	<i>OR</i>	2004	\$193,000,000	44	2,629
<b>ML&amp;R Wealth Management LLC</b>	<i>Austin</i>	<i>TX</i>	1997	\$197,390,624	36	2,740
<b>www.arcwoodfinancial.com</b>	<i>Phoenix</i>	<i>AZ</i>	2002	\$200,000,000	75	15,000
<b>www.arcwoodfinancial.com</b>	<i>Phoenix</i>	<i>AZ</i>	2002	\$200,000,000	75	15,000
<b>Tutton Financial</b>	<i>Santa Ana</i>	<i>CA</i>	2008	\$200,000,000	120	10,000
<b>Centinel Financial Group</b>	<i>Needham</i>	<i>MA</i>	1967	\$200,000,000	50	5,000
<b>QP Consulting LLC</b>	<i>Takoma Park</i>	<i>MD</i>	2002	\$200,000,000	36	2,346
<b>Financial Technology, Inc.</b>	<i>East Lansing</i>	<i>MI</i>	1980	\$200,000,000	70	1,200
<b>Retirement Advisors, LLC</b>	<i>Dallas</i>	<i>TX</i>	2008	\$208,390,389	22	5,378

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<b>ABG Consultants</b>	<i>Salt Lake City</i>	<i>UT</i>	2004	\$213,000,000	99	4,427
<b>Tsukazaki &amp; Associates, LLC</b>	<i>Honolulu</i>	<i>HI</i>	2004	\$236,000,000	86	4,900
<b>Trust Company of Illinois / TC Wealth Partners</b>	<i>Downers Grove</i>	<i>IL</i>	1993	\$245,000,000	85	3,500
<b>The E.W.S. Group at Morgan Stanley</b>	<i>Rochester</i>	<i>NY</i>	1973	\$254,000,000	25	5,725
<b>The Okby Group at Morgan Stanley</b>	<i>Saratoga Springs</i>	<i>NY</i>	1997	\$259,300,000	25	8,400
<b>McLaughlin Asset Management</b>	<i>Haddonfield</i>	<i>NJ</i>	1990	\$265,000,000	33	2,500
<b>Financial Management Network</b>	<i>Mission Viejo</i>	<i>CA</i>	1993	\$266,028,000	134	7,500
<b>Bonheur Scott Traino Group at Morgan Stanley</b>	<i>Middleton</i>	<i>MA</i>		\$275,000,000	96	5,000
<b>The TRC Group at Morgan Stanley</b>	<i>San Diego</i>	<i>CA</i>		\$279,959,149	89	5,000
<b>Morgan Stanley</b>	<i>New York</i>	<i>NY</i>		\$279,959,149	89	5,000
<b>Acropolis Investment Management, LLC</b>	<i>Saint Louis</i>	<i>MO</i>	2002	\$282,000,000	32	3,800
<b>Oakeson Steiner Wealth &amp; Retirement</b>	<i>Hastings</i>	<i>NE</i>	1994	\$283,026,122	56	3,320
<b>Decker Retirement Consulting Group at RBC Wealth Management</b>	<i>Glastonbury</i>	<i>CT</i>	2002	\$289,043,280	32	10,000
<b>CG Financial</b>	<i>Haslett</i>	<i>MI</i>	1999	\$290,000,000	111	10,000
<b>The Caves - Wiese Group at Morgan Stanley</b>	<i>Los Angeles</i>	<i>CA</i>	2012	\$300,000,000	55	10,000
<b>Mary Anne Forrester of Janney Montgomery Scott LLC</b>	<i>Washington</i>	<i>DC</i>	2001	\$300,000,000	27	4,000
<b>Douglas R. Peete &amp; Associates, Member Firm of GRP Advisor Alliance</b>	<i>Overland Park</i>	<i>KS</i>	1980	\$300,000,000	195	5,000
<b>CoSource Financial Group, LLC</b>	<i>Lafayette</i>	<i>LA</i>	2001	\$300,000,000	160	7,500
<b>Boston Partners Financial group, LLC</b>	<i>Andover</i>	<i>MA</i>	2002	\$300,000,000	57	10,000
<b>Chapman and Chapman, Inc.</b>	<i>Twinsburg</i>	<i>OH</i>	1886	\$300,000,000	60	8,500
<b>MAGIS Financial Partners</b>	<i>Horsham</i>	<i>PA</i>	1976	\$300,000,000	140	2,000
<b>Retirement Plan Fiduciaries</b>	<i>Austin</i>	<i>TX</i>	2012	\$300,000,000	55	7,000
<b>Retirement Plan Fiduciaries</b>	<i>Austin</i>	<i>TX</i>	2012	\$300,000,000	55	7,000
<b>Pensionmark Financial Group</b>	<i>Garden Ridge</i>	<i>TX</i>	2010	\$300,000,000	120	20,000
<b>Emmett G. Dupas III, Northwestern Mutual</b>	<i>Metairie</i>	<i>LA</i>	2003	\$305,000,000	126	7,500

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<b>Corpening Group, The</b>	<i>Winston-Salem</i>	<i>NC</i>	1993	\$305,000,000	18	3,000
<b>Tycor Benefit Administrators, Inc.</b>	<i>Berwyn</i>	<i>PA</i>	1987	\$305,134,200	150	4,500
<b>Steidle Pension Solutions, LLC</b>	<i>Lebanon</i>	<i>NJ</i>	1991	\$315,000,000	340	1,890
<b>CAPTRUST</b>	<i>Greenwich</i>	<i>CT</i>	2013	\$316,295,345	6	4,000
<b>CSG Capital Partners of Janney Montgomery Scott</b>	<i>Washington</i>	<i>DC</i>	1998	\$320,000,000	26	4,500
<b>CAPTRUST</b>	<i>Houston</i>	<i>TX</i>	2009	\$335,373,832	18	15,000
<b>Eukles Wealth Management</b>	<i>Cincinnati</i>	<i>OH</i>	2011	\$341,325,000	38	7,050
<b>Infinitas Coordinated Wealth Management</b>	<i>Overland Park</i>	<i>KS</i>	1990	\$343,726,000	112	8,900
<b>Infinitas Coordinated Wealth Management</b>	<i>Overland Park</i>	<i>KS</i>	1990	\$343,726,000	112	8,900
<b>Stark Miller Financial Benefits Group</b>	<i>Lafayette</i>	<i>CA</i>	1969	\$350,000,000	65	7,500
<b>JMB Wealth Management, Inc</b>	<i>Torrance</i>	<i>CA</i>	2006	\$350,000,000	60	15,000
<b>MidAtlantic Retirement Planning Specialists</b>	<i>Wilmington</i>	<i>DE</i>	1998	\$350,000,000	135	10,000
<b>RCM&amp;D</b>	<i>Towson</i>	<i>MD</i>	1885	\$350,000,000	30	2,000
<b>Capital Benefits, LLC</b>	<i>Fairfield</i>	<i>NJ</i>	2006	\$350,000,000	76	3,000
<b>Graystone Consulting - Birmingham, MI The Brice Group</b>	<i>Birmingham</i>	<i>MI</i>	1967	\$366,681,735	27	7,300
<b>The Bearing Group at Morgan Stanley</b>	<i>Chicago</i>	<i>IL</i>	1992	\$367,200,000	33	6,375
<b>RDH Investment Group</b>	<i>Spokane Valley</i>	<i>WA</i>	2011	\$371,720,971	26	10,000
<b>Signature Estate &amp; Investment Advisors</b>	<i>Los Angeles</i>	<i>CA</i>	1997	\$380,000,000	55	4,380
<b>Trutina Financial</b>	<i>Bellevue</i>	<i>WA</i>	2005	\$380,000,000	135	8,500
<b>RTD Financial</b>	<i>Philadelphia</i>	<i>PA</i>	1983	\$382,940,310	51	5,240
<b>Westgate Capital Consultants</b>	<i>Tacoma</i>	<i>WA</i>	1988	\$390,000,000	110	6,250
<b>Latus Group, Ltd.</b>	<i>Las Vegas</i>	<i>NV</i>	2009	\$398,000,000	57	13,500
<b>The HF Retirement Group of Wells Fargo Advisors</b>	<i>Los Angeles</i>	<i>CA</i>	2006	\$400,000,000	60	10,000
<b>CSI Advisory Services, A Member Firm of GRP Advisor Alliance</b>	<i>Indianapolis</i>	<i>IN</i>	1971	\$400,000,000	225	17,000
<b>Flynn Benefits Group</b>	<i>Troy</i>	<i>MI</i>	1986	\$400,000,000	27	3,500
<b>JKJ Retirement Services</b>	<i>Newtown</i>	<i>PA</i>	1956	\$400,000,000	45	4,000

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<b>Titan Retirement Advisors LLC</b>	<i>Houston</i>	<i>TX</i>	2012	\$400,000,000	300	8,000
<b>Fiduciary Retirement Advisory Group, LLC</b>	<i>Aliso Viejo</i>	<i>CA</i>	2017	\$400,800,000	36	5,400
<b>Kidder Advisers, LLC, Member Firm of GRP Advisor Alliance</b>	<i>West Des Moines</i>	<i>IA</i>	1996	\$405,000,000	130	4,900
<b>Evergreen Consulting, Inc</b>	<i>Chattanooga</i>	<i>TN</i>	1990	\$410,000,000	42	41,000
<b>Silicon Valley Retirement Services</b>	<i>San Jose</i>	<i>CA</i>	2010	\$425,000,000	52	8,500
<b>Kainos Partners, Inc. Pensionmark</b>	<i>Jersey Village</i>	<i>TX</i>	2011	\$425,000,000	66	6,600
<b>Boulay Donnelly &amp; Supovitz Consulting Group, Inc.</b>	<i>Worcester</i>	<i>MA</i>	1969	\$440,000,000	129	6,500
<b>Aldrich Wealth LP</b>	<i>Lake Oswego</i>	<i>OR</i>	1998	\$445,000,000	70	7,475
<b>Geringer, Laub &amp; Associates</b>	<i>Wichita</i>	<i>KS</i>	1993	\$450,000,000	55	4,000
<b>CCR Wealth Management, LLC</b>	<i>Westborough</i>	<i>MA</i>	1998	\$450,000,000	325	3,500
<b>PWMG 401(k) Advisors</b>	<i>Worcester</i>	<i>MA</i>	2010	\$450,000,000	369	5,000
<b>Blueway Financial Partners of Raymond James</b>	<i>Grand Rapids</i>	<i>MI</i>	2000	\$450,000,000	9	6,000
<b>CFS Investment Advisory Services, L.L.C.</b>	<i>Totowa</i>	<i>NJ</i>	1993	\$450,000,000	102	5,000
<b>BCI Group a Hub International Company</b>	<i>Portland</i>	<i>OR</i>	1996	\$450,000,000	70	5,225
<b>Aegis Retirement Group</b>	<i>Memphis</i>	<i>TN</i>	1998	\$450,000,000	81	7,500
<b>Paradigm Group Retirement Services</b>	<i>Nashville</i>	<i>TN</i>	2007	\$460,000,000	28	7,100
<b>RG Group, The</b>	<i>Yardley</i>	<i>PA</i>	2006	\$465,000,000	49	5,150
<b>Cornerstone Institutional Investors, LLC</b>	<i>Bethlehem</i>	<i>PA</i>		\$470,000,000	71	19,600
<b>LHD Retirement</b>	<i>Indianapolis</i>	<i>IN</i>	2004	\$475,000,000	103	9,200
<b>AssuredPartners Colorado</b>	<i>Denver</i>	<i>CO</i>	1997	\$500,000,000	58	10,000
<b>Raffa Retirement Services, A Member Firm of GRP Advisor Alliance</b>	<i>Rockville</i>	<i>MD</i>	1999	\$500,000,000	130	5,200
<b>Principled Advisors</b>	<i>Staten Island</i>	<i>NY</i>	2004	\$500,000,000	100	5,000
<b>Level Four Wealth Management</b>	<i>Plano</i>	<i>TX</i>	2001	\$500,000,000	150	2,500
<b>Vita Planning Group</b>	<i>Mountain View</i>	<i>CA</i>	1979	\$502,338,938	69	8,867
<b>CAPTRUST</b>	<i>Port Washington</i>	<i>NY</i>	2007	\$518,153,689	13	21,000
<b>The MKP Group at Morgan Stanley</b>	<i>Indianapolis</i>	<i>IN</i>	2012	\$525,000,000	36	12,000

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<b>CAPTRUST</b>	<i>Boston</i>	<i>MA</i>	2012	\$537,433,427	20	45,000
<b>True North Retirement Partners of Raymond James</b>	<i>Chicago</i>	<i>IL</i>	2001	\$550,000,000	32	5,500
<b>RSG Advisory, A Member Firm of GRP Advisor Alliance</b>	<i>Portsmouth</i>	<i>NH</i>	Retirement Solution Group 2005 (Note: RSG Advisory 2017)	\$584,331,543	180	7,359
<b>Fund Direct Advisors, Inc.</b>	<i>Greensboro</i>	<i>NC</i>	2010	\$600,000,000	125	17,000
<b>Graystone Consulting - Pittsburgh, PA Cleveland, OH</b>	<i>Hudson</i>	<i>OH</i>	2012	\$600,000,000	60	18,000
<b>ISC Advisors, Inc.</b>	<i>Dallas</i>	<i>TX</i>	1989	\$600,000,000	90	20,000
<b>Guidance Point Retirement Services</b>	<i>Portland</i>	<i>ME</i>	2012	\$643,159,753	55	12,863
<b>Shepherd Financial</b>	<i>Carmel</i>	<i>IN</i>	2015	\$646,240,892	120	12,398
<b>Rouleau Bevans Corleto Investment Consulting Group of Wells Fargo Advisors</b>	<i>Eugene</i>	<i>OR</i>	1999	\$650,000,000	100	10,000
<b>Valley Forge Financial Group</b>	<i>King of Prussia</i>	<i>PA</i>	1967	\$653,000,000	145	9,800
<b>PPS Retirement Advisors, A Member Firm of GRP Advisor Alliance</b>	<i>Williamsville</i>	<i>NY</i>	2017	\$670,000,000	270	12,450
<b>Atlanta Retirement Partners</b>	<i>Atlanta</i>	<i>GA</i>	1998	\$675,000,000	70	10,210
<b>Assurance Financial Services (AFS)</b>	<i>Schaumburg</i>	<i>IL</i>	2006	\$675,000,000	110	17,000
<b>MRA Associates</b>	<i>Phoenix</i>	<i>AZ</i>	1991	\$684,000,000	49	18,000
<b>Donald K. Jones, AIFA</b>	<i>Gilbert</i>	<i>AZ</i>	2010	\$700,000,000	600	N/A
<b>Retirement Resources</b>	<i>Peabody</i>	<i>MA</i>	1990	\$700,000,000	35	15,000
<b>Karpiak-Dupee Investment Group at Janney Montgomery Scott</b>	<i>Philadelphia</i>	<i>PA</i>	Firm: 1832 Practice: 1989	\$700,000,000	19	12,000
<b>E&amp;M Consulting</b>	<i>Strongsville</i>	<i>OH</i>	1991	\$705,256,790	40	40,000
<b>SLW Retirement Plan Advisors</b>	<i>Lafayette</i>	<i>CA</i>	2007	\$712,000,000	97	13,295
<b>Rehmann Financial</b>	<i>Troy</i>	<i>MI</i>	1941	\$725,000,000	269	13,681
<b>A.P. Lubrano &amp; Company, Inc.</b>	<i>Paoli</i>	<i>PA</i>	1989	\$725,000,000	33	60,000
<b>CoBiz Retirement Advisory Services</b>	<i>Denver</i>	<i>CO</i>	2010	\$730,000,000	70	12,000
<b>Beacon Pointe Advisors</b>	<i>Newport Beach</i>	<i>CA</i>	2002	\$750,000,000	75	12,500
<b>Lakeside Wealth Management</b>	<i>Chesterton</i>	<i>IN</i>	2004	\$750,000,000		0,000

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<b>Gordon Asset Management, LLC</b>	<i>Durham</i>	<i>NC</i>	2001	\$750,000,000	160	12,000
<b>Kraematon Group, Inc., The, A Member Firm of GRP Advisor Alliance</b>	<i>Wellesley</i>	<i>MA</i>	1982	\$771,000,000	43	10,280
<b>EPIC Retirement Services Consulting, LLC, A Member Firm of GRP Advisor Alliance</b>	<i>New York</i>	<i>NY</i>	1999	\$800,000,000	39	300,000
<b>Aid-Otillio Retirement Group of Wells Fargo Advisors</b>	<i>Brentwood</i>	<i>TN</i>	2015	\$810,000,000	43	120,000
<b>RMB Capital</b>	<i>Chicago</i>	<i>IL</i>	2005	\$826,012,573	38	7,857
<b>TriBridge Partners, LLC</b>	<i>Bethesda</i>	<i>MD</i>		\$850,000,000	87	4,357
<b>BerganKDV Wealth Management, LLC</b>	<i>Bloomington</i>	<i>MN</i>	2007	\$866,480,620	105	17,000
<b>HORAN Retirement Advisors</b>	<i>Cincinnati</i>	<i>OH</i>	1948	\$874,303,161	61	15,000
<b>Plexus Financial Services</b>	<i>Deer Park</i>	<i>IL</i>	2010	\$880,000,000	83	20,919
<b>The Ratay Group at Morgan Stanley</b>	<i>Lisle</i>	<i>IL</i>	1985	\$885,000,000	44	6,000
<b>Heritage Institutional Services</b>	<i>Oklahoma City</i>	<i>OK</i>	2008	\$900,000,000	24	23,758
<b>CAPTRUST</b>	<i>Santa Barbara</i>	<i>CA</i>	1988	\$903,044,098	55	20,000
<b>First Western Trust</b>	<i>Denver</i>	<i>CO</i>	2002	\$905,000,000	76	45,000
<b>CAPTRUST</b>	<i>New York</i>	<i>NY</i>	2012	\$932,252,225	27	20,000
<b>Peak Financial Group, LLC</b>	<i>Houston</i>	<i>TX</i>	2002	\$950,000,000	75	15,000
<b>Sikich Retirement Plan Services</b>	<i>Naperville</i>	<i>IL</i>	1982	\$951,000,000	44	9,500
<b>Graystone Consulting - Birmingham, MI The Messner Group</b>	<i>Birmingham</i>	<i>MI</i>	1992	\$990,000,000	37	18,000
<b>Mercer DC Advisors</b>	<i>Chicago</i>	<i>IL</i>		\$1,000,000,000	35	25,000
<b>Sapers &amp; Wallack</b>	<i>Newton</i>	<i>MA</i>	1932	\$1,000,000,000	50	15,000
<b>Mahoney Group, The</b>	<i>West Nyack</i>	<i>NY</i>	1992	\$1,000,000,000	40	20,000
<b>Mahoney Group, The</b>	<i>West Nyack</i>	<i>NY</i>	1992	\$1,000,000,000	40	20,000
<b>The Post Oak Group at Morgan Stanley</b>	<i>Houston</i>	<i>TX</i>	1978	\$1,000,000,000	20	15,000
<b>SeaPort Group at Morgan Stanley</b>	<i>Portland</i>	<i>OR</i>	2004	\$1,011,000,000	38	14,000
<b>KNW Group, The</b>	<i>Minnetonka</i>	<i>MN</i>	2002	\$1,066,870,462	108	20,000
<b>Channel Financial</b>	<i>Golden Valley</i>	<i>MN</i>	2002	\$1,100,000,000	170	16,500
<b>Paris International</b>	<i>Great Neck</i>	<i>NY</i>	1970	\$1,100,000,000	200	100,000
<b>Renaissance Benefit Advisors Group, LLC, A Member Firm of GRP Advisor Alliance</b>	<i>Pearl River</i>	<i>NY</i>	2008	\$1,100,000,000	28	16,000



## 2017 Top DC Advisor Teams with AUA Over \$100 Million - NAPA Net

Advisor Firm Name	City	State	Year Est.	Total asset value of the defined contribution plans supported (as of 12/31/16)	Total number of defined contribution plans supported (as of 12/31/16)	Total participants covered by defined contribution plans supported (as of 12/31/16)
Trust Company, The	Knoxville	TN	1987	\$1,100,000,000	191	24,464
Graystone Consulting - Atlanta, GA	Atlanta	GA	1997	\$1,139,130,295	67	25,000
StoneStreet Equity, LLC	White Plains	NY		\$1,150,000,000	30	30,000
Chepenik Financial	Winter Park	FL	1973	\$1,200,000,000	78	53,000
www.chepenikfinancial.com	Winter Park	FL	1973	\$1,200,000,000	78	53,000
The Beacon Group at Morgan Stanley	Jenkintown	PA	1997	\$1,200,000,000	105	10,000
Washington Financial Group, A Member Firm of GRP Advisor Alliance	McLean	VA	1983	\$1,200,000,000	142	30,000
Wintrust Retirement Plan Services	Chicago	IL	200	\$1,250,000,000	130	35,000
TrueNorth, Inc.	Wichita	KS	1999	\$1,250,000,000	207	24,826
Mariner Retirement Advisors	Leawood	KS	2014	\$1,269,769,670	168	21,838
AFS 401(k) Retirement Services, A Member Firm of GRP Advisor Alliance	Bethesda	MD	2006	\$1,270,000,000	65	14,450
Montgomery Retirement Plan Advisors	Tampa	FL	2004	\$1,293,432,743	75	2,100
Comperio Retirement Consulting, Inc.	Cary	NC	2006	\$1,300,000,000	30	15,000
The Sprenkle/Frey Group of Merrill Lynch	Cincinnati	OH	1993	\$1,300,000,000	50	21,583
Cornerstone Advisors Asset Management, LLC	Bethlehem	PA		\$1,300,000,000	19	12,400
VisionPoint, Member Firm of GRP Advisor Alliance	Dallas	TX	1981	\$1,300,000,000	88	57,000
CAPTRUST	Clarkston	MI	1988	\$1,302,786,584	260	17,000
Kieckhefer Group, The	Brookfield	WI	1999	\$1,317,532,810	42	12,000
Associated Financial Group, LLC	Minnetonka	MN	1984	\$1,320,000,000	230	29,450
LAMCO Advisory Services, Inc.	Lake Mary	FL	1990	\$1,450,000,000	57	28,000
LeafHouse Financial Advisors	Austin	TX	2009	\$1,489,677,662	360	45,000
WealthPlan Advisors	Scottsdale	AZ	2009	\$1,500,000,000	160	60,000
Woodruff-Sawyer & Co., A Member Firm of GRP Advisor Alliance	San Francisco	CA	1918	\$1,500,000,000	94	23,000
Plan Sponsor Consultants, A Member Firm of GRP Advisor Alliance	Alpharetta	GA	2008	\$1,500,000,000	157	42,000

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<b>Clearview Advisory, A Member Firm of GRP Advisor Alliance</b>	<i>Atlanta</i>	<i>GA</i>	2001	\$1,500,000,000	80	N/A
<b>401k Advisors Chicago</b>	<i>Wilmette</i>	<i>IL</i>	2001	\$1,500,000,000	120	56,000
<b>401k Advisors Chicago</b>	<i>Wilmette</i>	<i>IL</i>	2001	\$1,500,000,000	120	56,000
<b>Lebel &amp; Harriman, LLP</b>	<i>Falmouth</i>	<i>ME</i>	1978	\$1,500,000,000	198	9,200
<b>HB Retirement, A Member Firm of GRP Advisor Alliance</b>	<i>Pittsburgh</i>	<i>PA</i>	2005	\$1,500,000,000	245	100,000
<b>Noble Group, The</b>	<i>Sugar Land</i>	<i>TX</i>	1996	\$1,500,000,000	125	30,000
<b>Spectrum Investment Advisors, Inc., A Member Firm of GRP Advisor Alliance</b>	<i>Mequon</i>	<i>WI</i>	1995	\$1,559,720,241	130	21,000
<b>Johnson Sterling, Inc.</b>	<i>Birmingham</i>	<i>AL</i>	1988	\$1,600,000,000	6	30,000
<b>Hickok &amp; Boardman Retirement Solutions</b>	<i>Burlington</i>	<i>VT</i>	1983	\$1,600,000,000	65	25,000
<b>ABG of Michigan, A Member Firm of GRP Advisor Alliance</b>	<i>Bingham Farms</i>	<i>MI</i>	1969	\$1,618,000,000	365	26,460
<b>Hooker &amp; Holcombe Investment Advisors</b>	<i>West Hartford</i>	<i>CT</i>	1997	\$1,683,700,000	78	26,800
<b>GRP Financial</b>	<i>San Juan Capistrano</i>	<i>CA</i>	2014	\$1,700,000,000	70	40,000
<b>Sequoia Consulting Group</b>	<i>San Mateo</i>	<i>CA</i>	2001	\$1,700,000,000	335	60,000
<b>Alpha Pension Group, A Member Firm of GRP Advisor Alliance</b>	<i>Lexington</i>	<i>MA</i>	2003	\$1,800,000,000	405	44,000
<b>Bronfman Rothschild Plan Advisors</b>	<i>Rockville</i>	<i>MD</i>	1997	\$1,800,000,000	91	40,000
<b>Bridgehaven Fiduciary Partners</b>	<i>Warren</i>	<i>NJ</i>	2006	\$1,800,000,000	50	20,000
<b>Robertson Group at Graystone Consulting, The</b>	<i>Columbus</i>	<i>OH</i>	1994	\$1,800,000,000	30	18,000
<b>Avondale Partners, Member Firm of GRP Advisor Alliance</b>	<i>Nashville</i>	<i>TN</i>	2001	\$1,800,000,000	36	45,000
<b>CAPTRUST</b>	<i>Austin</i>	<i>TX</i>	2010	\$1,800,000,000	31	35,000
<b>Heuer Wenzel &amp; Associates/ Merrill Lynch</b>	<i>Houston</i>	<i>TX</i>	2012	\$1,800,000,000	42	30,000
<b>Handler Investment Consulting Group of Raymond James</b>	<i>Beverly Hills</i>	<i>CA</i>	1991	\$1,900,000,000	55	45,000
<b>Oswald Financial, Inc. Member Firm of GRP Advisor Alliance</b>	<i>Cleveland</i>	<i>OH</i>	1999	\$2,000,000,000	280	75,000
<b>Rogers Financial Pensionmark</b>	<i>Harrisonburg</i>	<i>VA</i>	1994	\$2,000,000,000	40	25,000

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<b>The Wilshinsky Group at Morgan Stanley</b>	<i>New York</i>	<i>NY</i>	1972	\$2,038,000,000	40	25,000
<b>CAPTRUST</b>	<i>Birmingham</i>	<i>AL</i>	2008	\$2,043,667,107	30	53,000
<b>Buckingham Strategic Wealth</b>	<i>Saint Louis</i>	<i>MO</i>	1994	\$2,080,997,108	786	17,116
<b>The Kelliher Corbett Group at Morgan Stanley</b>	<i>Norwell</i>	<i>MA</i>	1992	\$2,100,000,000	110	40,000
<b>Pacific Portfolio Consulting</b>	<i>Seattle</i>	<i>WA</i>	1992	\$2,100,000,000	51	15,000
<b>Bukaty Companies Financial Services</b>	<i>Leawood</i>	<i>KS</i>	2001	\$2,138,619,277	240	38,731
<b>Cafaro Greenleaf</b>	<i>Red Bank</i>	<i>NJ</i>	1981	\$2,200,000,000	125	70,000
<b>Graystone Consulting</b>	<i>Columbus</i>	<i>OH</i>	1994	\$2,200,000,000	88	21,000
<b>Graystone Consulting</b>	<i>Columbus</i>	<i>OH</i>	1994	\$2,200,000,000	20	21,000
<b>Fiduciary Plan Advisors</b>	<i>Owings Mills</i>	<i>MD</i>	2014	\$2,400,000,000	105	30,000
<b>Graystone Consulting - Cincinnati, OH</b>	<i>Cincinnati</i>	<i>OH</i>		\$2,400,000,000	63	100,000
<b>401k Advisors Intermountain</b>	<i>Sandy</i>	<i>UT</i>	1992	\$2,400,000,000	120	45,000
<b>Conrad Siegel Actuaries</b>	<i>Harrisburg</i>	<i>PA</i>	1963	\$2,418,832,646	218	54,160
<b>White Oak Advisors, LLC</b>	<i>Indianapolis</i>	<i>IN</i>	2004	\$2,420,000,000	48	51,500
<b>Pensionmark Financial Group, LLC</b>	<i>Santa Barbara</i>	<i>CA</i>	1988	\$2,500,000,000	80	60,000
<b>SHA Retirement Group</b>	<i>Auburn Hills</i>	<i>MI</i>	2002	\$2,500,000,000	55	12,000
<b>StoneStreet Advisor Group, A Member Firm of GRP Advisor Alliance</b>	<i>Pearl River</i>	<i>NY</i>	1998	\$2,500,000,000	55	75,000
<b>Robertson Group at Graystone Consulting, The</b>	<i>Columbus</i>	<i>OH</i>	1973	\$2,500,000,000	91	18,000
<b>CAPTRUST</b>	<i>Des Moines</i>	<i>IA</i>	1998	\$2,535,977,202	103	60,000
<b>Graystone Consulting - The Atlantic Group</b>	<i>Boca Raton</i>	<i>FL</i>	2002	\$2,600,000,000	70	40,000
<b>Baystate Fiduciary Advisors, A Member Firm of GRP Advisor Alliance</b>	<i>Boston</i>	<i>MA</i>	2002	\$2,616,591,787	37	14,971
<b>Enterprise Retirement Solutions</b>	<i>Houston</i>	<i>TX</i>	1995	\$2,660,000,000	212	28,000
<b>Graystone Consulting - Boston North Shore</b>	<i>Middleton</i>	<i>MA</i>	1995	\$2,820,000,000	30	60,000
<b>ProCourse Fiduciary Advisors</b>	<i>Carmel</i>	<i>IN</i>	2012 (Team in 1998)	\$2,900,000,000	95	50,000
<b>Pension Consultants, Inc.</b>	<i>Springfield</i>	<i>MO</i>	1994	\$2,922,207,634	162	260,313
<b>intelligents investment solutions inc</b>	<i>Albert Lea</i>	<i>MN</i>	1974	\$3,000,000,000	200	40,000

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<b>Precept Advisory Group LLC</b>	<i>Irvine</i>	<i>CA</i>	1991	\$3,200,000,000	62	45,000
<b>FiduciaryFirst</b>	<i>Orlando</i>	<i>FL</i>	2006	\$3,200,000,000	60	30,000
<b>Mayflower Advisors, LLC</b>	<i>Boston</i>	<i>MA</i>	2002	\$3,300,000,000	160	20,000
<b>Moneta Group Investment Advisors</b>	<i>St. Louis</i>	<i>MO</i>	1869	\$3,407,093,992	290	20,000
<b>MRP, A Member Firm of GRP Advisor Alliance</b>	<i>Denver</i>	<i>CO</i>	2005	\$3,600,000,000	190	40,000
<b>Graystone Consulting - Milwaukee, WI</b>	<i>Milwaukee</i>	<i>WI</i>	1981	\$3,616,654,038	66	75,000
<b>Sentinel Pension Advisors, Inc.</b>	<i>Wakefield</i>	<i>MA</i>	1987	\$3,634,688,886	432	44,759
<b>Cantor Fitzgerald Wealth Partners, Pittsburgh</b>	<i>Pittsburgh</i>	<i>PA</i>	2014	\$3,700,000,000	29	60,000
<b>Ascende Wealth Advisers, Member Firm of GRP Advisor Alliance</b>	<i>Houston</i>	<i>TX</i>	2011	\$4,200,000,000	119	150,000
<b>Mesirow Financial</b>	<i>Chicago</i>	<i>IL</i>	1937	\$4,300,000,000	255	120,000
<b>NFP Corporate Services, Southeast Region</b>	<i>Charlotte</i>	<i>NC</i>	1991	\$4,500,000,000	300	250,000
<b>Graystone Consulting - Los Angeles, CA</b>	<i>Westlake Village</i>	<i>CA</i>	1998	\$4,669,864,144	42	100,000
<b>NEXT Retirement Solutions</b>	<i>San Diego</i>	<i>CA</i>	1994	\$5,000,000,000	200	450,000
<b>CAPTRUST</b>	<i>Westlake Village</i>	<i>CA</i>	2009	\$5,008,332,762	68	185,800
<b>WhartonHill Advisors, A Member Firm of GRP Advisor Alliance</b>	<i>Ft. Washington</i>	<i>PA</i>	1990	\$5,366,193,602	220	65,588
<b>CAPTRUST</b>	<i>Bethlehem</i>	<i>PA</i>	2000	\$5,458,622,600	76	122,000
<b>Resources Investment Advisors, Inc.</b>	<i>Leawood</i>	<i>KS</i>	1987	\$5,653,000,000	589	85,434
<b>Marsh &amp; McLennan Agency</b>	<i>Boston</i>	<i>MA</i>	2008	\$5,846,692,169	260	23,000
<b>Strategic Retirement Partners</b>	<i>Shorewood</i>	<i>IL</i>	2015	\$6,000,000,000	475	60,000
<b>Glading Group</b>	<i>Montclair</i>	<i>NJ</i>	2002	\$6,000,000,000	15	40,000
<b>gladinggroup.com</b>	<i>Montclair</i>	<i>NJ</i>	2002	\$6,000,000,000	15	40,000
<b>CAPTRUST</b>	<i>Akron</i>	<i>OH</i>	2001	\$6,456,052,511	82	100,000
<b>Sentinel Benefits &amp; Financial Group, A Member Firm of GRP Advisor Alliance</b>	<i>Wakefield</i>	<i>MA</i>	1987	\$6,600,000,000	1,700	55,500
<b>Blue Prairie Group</b>	<i>Chicago</i>	<i>IL</i>	2002	\$6,800,000,000	93	100,000
<b>Compass Financial Partners, LLC, A Member Firm of GRP Advisor Alliance</b>	<i>Greensboro</i>	<i>NC</i>	2002	\$7,000,000,000	125	93,000
<b>CAPTRUST</b>	<i>Dallas</i>	<i>TX</i>	2010	\$7,037,564,653	38	78,000

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CAPTRUST	Atlanta	GA	2005	\$7,118,273,627	46	94,000
The PFE Group	Newport Beach	CA	1996	\$7,200,000,000	160	1,100,000
CAPTRUST	Tampa	FL	1998	\$7,700,000,000	116	108,000
Westminster Consulting, LLC	Rochester	NY	2003	\$8,000,000,000	60	100,000
Investment Research & Advisory Group	Atlanta	GA	1992	\$8,400,000,000	108	127,880
MJM401k	Pasadena	CA	2005	\$8,495,455,511	78	88,901
Benefit Financial Services Group	Irvine	CA	1990	\$9,000,000,000	127	N/A
Newport Capital Group	Red Bank	NJ	2004	\$9,700,000,000	116	112,549
Innovest Portfolio Solutions	Denver	CO	1996	\$10,300,000,000	117	193,000
Marsh & McLennan Agency	San Diego	CA	2002	\$10,529,000,000	710	168,000
FiduciaryVest	Atlanta	GA	2005	\$11,007,000,000	47	161,566
CAPTRUST	Portland	ME	2006	\$11,400,538,773	108	116,000
Sheridan Road Financial, LLC	Northbrook	IL	2005	\$12,000,000,000	300	550,000
CAPTRUST	Doylestown	PA	2006	\$12,049,747,068	127	500,000
CBIZ Retirement Plan Services	Cleveland	OH	1998	\$12,422,338,490	850	230,893
Advanced Capital Group	Minneapolis	MN	1998	\$13,300,000,000	110	140,000
Retirement Benefits Group	San Diego	CA	2009	\$13,500,000,000	675	161,000
Centurion Group, LLC	Plymouth Meeting	PA	2006	\$14,100,000,000	170	510,000
Multnomah Group, Inc.	Portland	OR	2003	\$15,682,929,554	154	N/A
CAPTRUST	Eden Prairie	MN	1995	\$17,309,112,734	87	200,000
CAPTRUST	Charlotte	NC	2003	\$18,020,792,966	89	201,000
CAPTRUST	Richmond	VA	2005	\$22,570,901,767	146	420,000
CAPTRUST	Raleigh	NC	1997	\$50,641,070,011	431	4,000,000

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